



SP01-300

2874

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Filhaber, John F., et al

Serial No: 10/035358

Examiner: Michelle R. Connelly-Cushwa

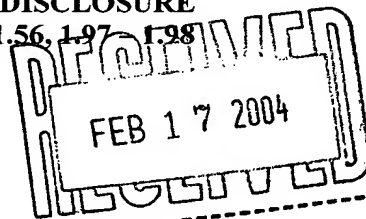
Filed: 10/26/2001

Group Art Unit: 2874

For: DIRECT BONDING OF OPTICAL COMPONENTS

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, 1.98Commissioner of Patents
Alexandria, VA 22313-1450

Dear Sir:



The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

Each of the reference(s) enclosed was cited in a communication from the USPTO in a related application not more than three months prior to the filing of this information disclosure statement.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

The undersigned attorney is granted limited recognition by the Office of Discipline and Enrollment of the USPTO to practice before the USPTO in capacity as an employee of Corning Incorporated. A copy of the document granting such limited recognition either has been previously submitted or is submitted herewith for the record.

Respectfully submitted,

Siwen Chen
Corning Incorporated
SP-TI-03-1
Corning, NY 14831
607-248-1253

Date: January 29, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, VA 22313-1450 on

Date of Deposit

Signature: Colleen E. Doherty



FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

SP01-300

SERIAL NO.

10/035358

APPLICANT :Filhaber, John F., et al.

FILING DATE 10/26/2001

GROUP: 2874

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	2003/0081930	5/1/03	Filhaber et al	385	147	10/26/01
	AB	4,960,331	10/2/90	Goldman et al	356	350	12/2/88
	AC	5,319,483	6/7/94	Krasinski et al	359	113	12/4/92
	AD	5,441,803	8/15/95	Meissner	428	220	7/29/92
	AE	5,689,519	11/18/97	Fermann et al	372	18	12/20/93
	AF	6,120,917	9/19/00	Eda	428	692	8/11/97
	AG	6,548,176	4/15/03	Gwo	428	420	6/4/99
	AH	6,621,630	9/16/03	Iwatsuka	359	484	3/30/01
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						

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FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AU							
	AV							
	AW							
	AX							
	AY							
	AZ							

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Siwen Chen is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of Corning Incorporated to prepare and prosecute patent applications in which (i) Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; (ii) a wholly-owned subsidiary of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application; or (iii) a joint venture of Corning Incorporated is the assignee of all right, title and interest in the invention claimed in the application. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Siwen Chen ceases to lawfully reside in the United States, (ii) Siwen Chen's employment with Corning Incorporated ceases or is terminated, or (iii) Siwen Chen ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: February 20, 2004

A handwritten signature in dark ink, appearing to read "Harry I. Moatz", is written over a horizontal line.

Harry I. Moatz
Director of Enrollment and Discipline